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| <p>कोल इण्डिया लिमिटेड महाराष्ट्र कंपनी 3 तल्ला, कोर-2 प्रमिसा-04-एमआर, प्लॉट-ए एफ-III, एक्शन एरिया-1A, न्यूटाउन, रजरहट, कोकाता- 700156 फोन 033-२३२४६५२६, फैक्स-033-२३२४६५१० ईमेल : comsec2.cil@coalindia.in वेबसाइट : www.coalindia.in</p> |  | <p>Coal India Limited A Maharatna Company (A Govt. of India Enterprise) Regd. Office: 3rd floor, Core-2 Premises no-04-MAR, Plot no-AF-III, Action Area- 1A, Newtown, Rajarhat, Kol-700156 PHONE; 033-2324-6526, FAX; 033-23246510 E-MAIL: comsec2.cil@coalindia.in WEBSITE: www.coalindia.in CIN- <u>L23109WB1973GOI028844</u></p> |
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No.CIL:XI(D):04156/04157/4161:2022:

Dated: 14th Oct.'2022

To,
Listing Department,
National Stock Exchange of India Limited,
Exchange Plaza, Bandra Kurla Complex,
Mumbai- 400 051
Ref: ISIN – INE522F01014

Listing Department,
Bombay Stock Exchange Limited,
14th Floor, P. J. Towers, Dalal Street,
Mumbai – 400 001
Ref no-533278

Dear Sir / Madam,

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER' 2022
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **B. P. DUBEY, General Manager (CS)/Compliance Officer** have examined the following compliance requirement of COAL INDIA LIMITED (Company) and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

| Sr. No | Compliance Requirement | Yes/No | Observation/ Remark |
|--------|---|------------|---------------------|
| 1. | Whether the Company has a Structured Digital Database in place? | YES | - |
| 2. | Whether control exists as to who can access the SDD for read/ write alongwith the names and PAN of such person? | YES | - |
| 3. | Whether all the UPSI had been captured in the Database. If not details of events that had not been captured and the reason for the same? | YES | - |
| 4. | Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same? | YES | - |
| 5. | Whether nature of UPSI have been captured alongwith date and time? | YES | - |
| 6. | Whether name of persons who have shared the information has been captured along with PAN or any other identifier? | YES | - |
| 7. | Whether name of persons with whom information is shared has been captured along with PAN or any other identifier? | YES | - |

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|-----|---|------------|---|
| 8. | Whether the database has been maintained internally? | YES | - |
| 9. | Whether audit trail is maintained? | YES | - |
| 10. | Whether time stamping is maintained? | YES | - |
| 11. | Whether the database is non-tamperable? | YES | - |
| 12. | Any other measures to ensure non-tamperability of the Database? | YES | THE DATABASE CAN NOT BE EDITED AND MODIFIED |

Note: The information of the audit should cover the period when such information was inserted in the SDD upto the date of disclosure.

The number of days for which non-compliance was observed: NA

Further I also confirm that the Company was required to capture **1 (ONE)** number of events during the quarter/half year ended and has captured **1 (ONE)** number of the said required events.

Yours sincerely,

For and on behalf of Coal India Limited

(B. P. Dubey)
General Manager (CS)/Compliance Officer

Date: **14th Oct.'22**

Place: **Kolkata**